CSO Solicitation No. 2275

Construction Engineering and Inspection Services for Project No. 0301-0154 Prequalification Category - Construction Engineering and Inspection (Rails)

FIRMS WHO ARE ELIGIBLE TO SUBMIT WILL RECEIVE THIS LETTER IN THE MAIL.

IT IS BEING POSTED HERE FOR INFORMATION ONLY.

The Connecticut Department of Transportation (Department) is seeking to engage one (1) prequalified consultant engineering firm, in the category listed above, to perform the Construction Engineering and Inspection services for the following assignment:

Project No. 0301-0154 – New Haven Line Signal System Replacement - This Project consists of the installation and testing of signal & communication system components from CP 229 to CP 241. Installation of the signal system will be completed by a Metro North contractor, in conjunction with Metro North forces. The selected firm will be responsible to inspect work performed by both. This project is anticipated to begin in the Fall of 2016, with a scope code "N".

Information regarding the licensing, certification and qualification requirements for this project can be found in the "Construction Engineering and Inspection Information Pamphlet for Consultants" dated August 2008. (This Pamphlet can be found online at www.ct.gov/dot under the publications link.)

Firms responding to this request should be of adequate size and sufficiently staffed and experienced to perform this assignment and will be required to provide the necessary staffing upon execution of the contract.

Firms should also be familiar with the Department's construction policies as outlined in our Construction Manual. (This Manual can also be found online at www.ct.gov/dot/construction under the publications link.)

If your firm would like to be considered for this assignment, your submittal should consist of a one page letter of interest, a ConnDOT Form CSO 255(Rev. Jan. 2016), and up to a maximum of five (5) resumes which demonstrate staff experience working on major railway signal systems, and/or the management of force account work. Proposed staff must be available for work when required. The CSO 255 Form can be found online at www.ct.gov/dot/business/consultant/selection. Four (4) copies of the submittal are required and they must be either postmarked by, or, if hand delivered, received by 3:00 p.m. on August 16, 2016. Firms, who are shortlisted based on their CSO 255 submittal, will be notified by the Consultant Selection Office of the time and date for their final selection interview. A final selection is anticipated by November 30, 2016.

Your CSO 255 submittal should identify the following: your proposed current staff and their qualifications, experience, and availability to perform the required services. Your submittal will be evaluated on the following criteria:

- (1) Experience, qualification and availability of current staff, including sub-consultants, proposed for this assignment Weighted scoring value = 20%
- (2) Field inspection of a railway signal system on a major rail system Weighted scoring value = 20%
- (3) Experience in engineering and inspection of railroad force account projects; all disciplines Weighted scoring value = 30%

- (4) Familiarity of Federal Railroad Administration (FRA) regulations Weighted scoring value = 10%
- (5) Familiarity of Federal Transit Administration (FTA) policies and procedures Weighted scoring value = 5%
- (6) Familiarity with ConnDOT policies, procedures, standards, and AREMA (American Railway Engineering & Maintenance-of-Way Association) Weighted scoring value = 15%

This will be a cost-plus, fixed-fee contract. All firms are advised that the prime consultant must perform the majority of the work with employees of the firm. Joint venturing assignments will not be allowed.

You are advised that a Disadvantaged Business Enterprise (DBE) goal applies to this contract. The goal will be no less than twelve percent (12%) of the agreement value. Within the letter-of-interest submittal, you must include the designated certified DBE sub-consultant(s) which you plan to use. To be eligible for DBE credit, the sub-consultant(s) must be currently certified by the Department. If the prime consultant is a Connecticut certified DBE firm, they will satisfy the DBE requirement provided their percentage of work performed meets the assigned goal.

Prior to the negotiation process, the selected firm will be required to have a Department-approved audit and affirmative action plan, as well as current corporate registration with the Secretary of State (partnerships excluded). The selected firm will also be required to maintain insurance coverage from a firm approved to do business in the State of Connecticut. A minimum of four million dollars (\$4,000,000) Professional Liability Insurance coverage, with a maximum deductible of five hundred thousand dollars (\$500,000), will be required. Proof of coverage must be submitted on acceptable insurance forms prior to the start of the negotiations process.

Do not send additional documentation other than what is requested. The Consultant Selection Panel may reject your submittal, and your firm may not be considered for this project if you provide additional documentation such as corporate brochures, background information, and histories.

In accordance with the Connecticut General Statutes (CGS) Sections 4-252, 4a-81, and 4-252a, firms, once selected, must complete the Office of Policy and Management's (OPM) Ethics Form 1 (Gift and Campaign Contribution Certification), Form 5 (Consulting Agreement Affidavit), and Form 7 (Iran Certification) prior to the agreement/contract execution. Forms 1 and 5 apply to all consulting agreements/contracts which have a total value to the State of Connecticut (State) of fifty thousand dollars (\$50,000) or more in a calendar or fiscal year. Form 7 applies to any consulting agreement/contract which has a total cumulative value to the State of five hundred thousand dollars (\$500,000) or more. Any consultant that does not complete the certifications (Form 1 & 7) required under subsection (c) of CGS 4-252 and subsection (b) of CGS 4-252a, or refuses to submit the affidavit (Form 5) required under subsection (b) of CGS 4a-81 shall be disqualified and the Department shall award the agreement/contract to the next highest ranked consultant or seek new submittals, in accordance with subsection (d) of CGS 4-252 and subsection (d) of CGS 4a-81. Further information on these requirements and the latest versions of these documents, can be obtained online at http://www.ct.gov/opm via the Ethics Affidavits link.

Please note, in an effort to streamline the certification and affidavit process for contract/agreement execution, the Department is requiring selected firms to upload Forms 1 and 5 on the Department of Administrative Services (DAS) Business Network ("BizNet") website. Further information can be found at http://das.ct.gov/cr1.aspx?page=371, to subscribe to BizNet and obtain directions to upload the forms following the "Vendor Guide to Uploading Affidavits and Nondiscrimination Forms Online (pdf)".

For all State contracts as defined in CGS § 9-612(f)(1) having a value in a calendar year of \$50,000 or more or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized

signatory to this submission in response to the State's solicitation expressly acknowledges receipt of the State Elections Enforcement Commission's Notice titled "Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations" (Notice) advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the Notice. The State Elections Enforcement Commission's Notice can be found online at www.ct.gov/SEEC by clicking on the Forms link and the Contractor Reporting Forms link. The Notice is hereby made a part of this solicitation.

The Department, in its sole discretion, reserves the right to cancel this solicitation and terminate the process to retain consultant services, and is under no obligation to contract for the services specified herein.

The Department reserves the right to add additional projects of a similar nature for a separate selection, should additional projects become available prior to the interview phase of the selection process.

All letters of interest shall be addressed to:

Mr. David Mancini, P.E. Consultant Selection Office Connecticut Department of Transportation 2800 Berlin Turnpike Newington, Connecticut 06111

Hand delivered letters should be brought to the front desk, at the aforementioned address, no later than 3:00 p.m. on August 16, 2016.

Please be advised that firms must continue to be prequalified in the specified category in the year a shortlist is finalized and/or a selection is made.

All inquiries regarding this request for Letters of Interest shall be directed to Mr. David Mancini of the Consultant Selection Office at (860) 594-3017.